

#14  
SP

PATENT  
Customer No. 22,852  
Attorney Docket No. 6502.0267-00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Adrian E. COLLEY et al. ) Group Art Unit: 2122  
Application No.: 09/753,686 ) Examiner: Not Yet Assigned  
Filed: January 4, 2001 )  
For: METHOD AND SYSTEM FOR )  
PASSING OBJECTS IN A )  
DISTRIBUTED SYSTEM USING )

RECEIVED

SFP 22 2003

Technology Center 2100

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement (IDS) supplements the Statements filed January 29, 2001, September 25, 2001, November 27, 2001, May 13, 2002, June 12, 2002, October 3, 2002, October 23, 2002, April 4, 2003, and June 19, 2003, and is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached

**FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP**

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)

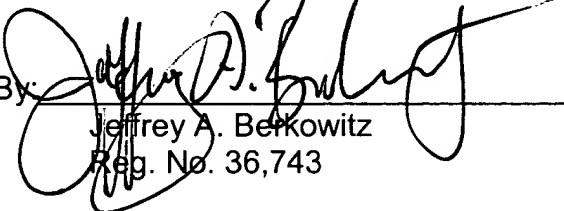
This submission does not represent that a search has been made or that no better art exists and does not constitutes an admission that the listed documents are material or constitutes "prior art." If the Examiner applies the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

By:   
Jeffrey A. Berkowitz  
Reg. No. 36,743

Dated: September 17, 2003

FINNEGAN  
HENDERSON  
FARABOW  
GARRETT &  
DUNNER LLP

1300 I Street, NW  
Washington, DC 20005  
202.408.4000  
Fax 202.408.4400  
[www.finnegan.com](http://www.finnegan.com)



OMB No. 0651-0011

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 6502.0267-00	Application No.: 753,686/09
Applicant: Adrian E. COLLEY et al.	
Filing Date: January 4, 2001	Group: 2122

**U.S. PATENT DOCUMENTS**

Examiner Initial*		Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
		US 5,303,042	04/12/1994	Lewis et al.			
		US 5,506,984	04/09/1996	Miller			
		US 5,754,977	05/19/1998	Gardner et al.			
		US 5,761,507	06/02/1998	Govett			
		US 5,764,915	06/09/1998	Heimsoth et al.			
		US 6,034,925	03/07/2000	Wehmeyer	<b>RECEIVED</b>		
		US 6,055,562	04/25/2000	Devarakonda et al.	SEP 22 2003		
		US 6,058,381	05/02/2000	Nelson	Technology Center 2100		
		US 6,078,655	06/20/2000	Fahrer et al.			
		US 6,192,044 B1	02/20/2001	Mack			
		US 6,199,068 B1	03/06/2001	Carpenter			
		US 6,219,675 B1	04/17/2001	Pal et al.			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	International Search Report for PCT/US02/00136, June 24, 2003 (6 pages).
	"Java (TM) Object Serialization Specification," Sun Microsystems, Inc., XP-002242372, < <a href="http://www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1_2.pdf">www.dei.estg.iplei.pt/P3/N/material/extra/serial-spec-JDK1_2.pdf</a> >, November 30, 1998 (76 pages).
	Chan, P. et al., <u>The Java Class Libraries, 2<sup>nd</sup> Edition</u> , Vol. 1, "Java.io ObjectInputStream," XP-002243027, pp. 1230-1232, 1262-1264, and 1283, March 9, 1998 (7 pages).
	Opyrchal et al., "Efficient Object Serialization in Java," Department of Electrical Engineering and Computer Science, University of Michigan, XP-002242373, May 31, 1999 (6 pages).

Examiner	Date Considered:
----------	------------------

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.